



6 2652

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q67433

Naoharu YANAGAWA, et al.

Appln. No.: 09/994,608

Group Art Unit: 2652

Confirmation No.: 9299

Examiner: Not Yet Assigned

Filed: November 28, 2001

RECEIVED

MAR 23 2004

For: DEVICE AND METHOD FOR CONTROLLING TILT SERVO

Technology Center 2600

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicants hereby notify the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith. Also, enclosed is a European Office Action in a corresponding European Patent Application, citing the references enclosed with this IDS.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement

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under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required. Nevertheless, since a statement can be made, a statement is submitted herewith.

The present Information Disclosure Statement is being filed thirty days or fewer from the communication from a foreign patent office and a Statement Under 37 C.F.R. § 1.704(d) is attached.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.


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WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Respectfully submitted,



Darryl Mexic

Registration No. 23,063

Date: March 22, 2004



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STATEMENT UNDER 37 C.F.R. § 1.704(d)

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P.O. Box 1450
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Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was cited in a communication from a foreign patent office in a counterpart foreign application, and that the communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of said Information Disclosure Statement.

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Substitute for Form 1449 A & B/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

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of

1

Complete if Known

Application Number	09/994,608
Confirmation Number	9299
Filing Date	November 28, 2001
First Named Inventor	Naoharu YANAGAWA
Art Unit	2652
Examiner Name	Not Yet Assigned
Attorney Docket Number	Q67433

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code ² (if known)		
		US 5,539,710	A	7/23/1996	Tokushuku et al.
		US 6,137,754	A	10/24/2000	Furukawa et al.
		US 6,021,102	A	2/1/2000	Seto et al.
		US 6,141,304	A	10/31/2000	Ogasawara
		US 6,088,317	A	7/11/2000	Kim et al.
		US 5,742,575	A	4/21/1998	Yamakawa et al.
		US			
		US			
		US			

RECEIVED**MAR 23 2004****Technology Center 2600****FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)			
		EP	0 806 767	A1	11/12/1997	SONY CORPORATION	
		EP	0 874 356	A2	10/28/1998	PIONEER ELECTRONIC CORPORATION	
		EP	0 987 704	A1	3/22/2000	MITSUMI ELECTRIC COMPANY LTD.	
		JP	61 129750	A	06/17/1986	HITACHI LTD.	Abstract

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation ⁶

Examiner Signature

Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.